

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Akinobu Sato, et al.
Serial No.: 10/573,942
Filing Date: March 29, 2006
Title: Solid surface smoothing
method and apparatus therefor
Conf. No. 5411

Examiner: Michael A. Band
Art Unit: 1795

January 8, 2010
Fremont, California

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure set forth in 37 CFR § 1.56, Applicants respectfully disclose the following:

US 6,486,478, issued 11/26/2002, Libby et al., filed 12/06/2000.

Yamada, "Cluster ion beam process technology – 20 years of R&D history", Nuclear Instruments and Methods in Physics Research, B257, 2007, pp.632-638.

Yamada, "Basic and Applications of Cluster Ion Beams," The Nikkan Kogyo Shinbun. Ltd., p25, 10/31/2006, ISBN 9784526057656.

Payment of the \$180 fee as required under 37 CFR § 1.97(c) and as set forth in §1.17(p) is made by charge to credit card authorized with the submission of this disclosure.

Applicants respectfully request that the Examiner initial the cited references shown on the enclosed form PTO-1449 and that the references be made of record in the present application.

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'DL' or 'DLH' with a stylized flourish.

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Enc. Copy of references
Substitute form PTO-1449